

# Knobbe Martens Olson & Bear LLP

Intellectual Property Law

10/580768

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AP20 Rec'd PCT/PTO 25 MAY 2006

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P.O. Box 1450  
Alexandria, VA 22313-1450

## SUBMISSION BY "EXPRESS MAIL"

Attorney Docket No. : SHIGA7.051APC  
Applicant(s) : Takeshita et al.  
For : POSITIVE RESIST COMPOSITION AND  
METHOD FOR FORMING RESIST  
PATTERN  
Agent : Neil S. Bartfeld, Ph.D.  
"Express Mail" Label No. : EV 466895623 US  
Date of Deposit : May 25, 2006

The following documents are hereby placed into an Express Mail envelope bearing the number indicated above, which envelope is being deposited today with the U.S. Postal Service as Express Mail:

Transmittal letter; a copy of the International Application as published; an English translation of the International Application as published; Preliminary Amendment in 3 pages; a copy of Form PCT/IB/304; a copy of the International Search Report; SIGNED Declaration and Power of Attorney in 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 14 references enclosed; Check for Filing Fee(s); Return Prepaid Postcard.

The envelope, with the enclosures listed above, is addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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This submission is being made in compliance with 37 CFR 1.10.

Nicole Laver

Name: Nicole Laver

Docketing Agent

2628185: CEY  
052406

**NATIONAL PHASE  
UTILITY APPLICATION**  
**Transmittal to the (DO/EO/US)**  
**Under 35 USC 371**

Attorney Docket No.: SHIGA7.051APC  
First Named Inventor: Masaru Takeshita  
Int'l Application No.: PCT/JP2004/017405  
Int'l Filing Date: November 24, 2004  
Priority Date Claimed: November 28, 2003  
Title: POSITIVE RESIST COMPOSITION AND METHOD FOR  
FORMING RESIST PATTERN  
Express Mail Label No.: EV 466895623 US

**Direct all correspondence to Customer No.: 20995**

Date: May 25, 2006  
Page 1 of 2

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United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

1. Masaru Takeshita
2. Ryotaro Hayashi
3. Takeshi Iwai

**APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as published (35 U.S.C. § 371(c)(2)) is attached hereto.
- (X) An English translation of the International Application as published is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An Oath or Declaration and Power of Attorney signed by the inventors (35 U.S.C. § 371(c)(4)).

**OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 3 pages.
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 21 references.
  - (X) Enclosing 14 references.
- (X) A copy of Form PCT/IB/304 is attached hereto.
- (X) A copy of the International Search Report is attached hereto.
- (X) Return prepaid postcard.

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AP2004-11-25 MAY 2006

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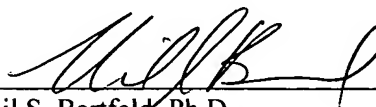
Date: May 25, 2006  
Page 2 of 2

**FILING FEES:**

| FEE CALCULATION   |   |              |                      |                |
|---|---|--------------|----------------------|----------------|
| FEE TYPE & DETERMINATION  |   | LARGE FEE    | CALCULATION          | TOTAL          |
| Basic Filing Fee under 1.492(a)                                   |   | 1631 (\$300) |                      | \$300          |
| Search Fee under 1.492(b) <i>(enter one in TOTAL column)</i>      |   |              |                      |                |
| (1)   | USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4) | 1640 (\$0)   |                      | \$400          |
| (2)   | USPTO was the ISA (noncompliant claims)             | 1641 (\$100) |                      |                |
| (3)   | Foreign Search Report Enclosed                      | 1642 (\$400) |                      |                |
| (4)   | No Search Report Enclosed                           | 1632 (\$500) |                      |                |
| Examination Fee under 1.492(c) <i>(enter one in TOTAL column)</i> |   |              |                      |                |
| (1)   | USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4) | 1643 (\$0)   |                      | \$200          |
| (2)   | Non US IPEA or Noncompliant Claims                  | 1633 (\$200) |                      |                |
| Excess Claims   | 22 - 20 = 2   | 1615 (\$50)  | 2 x 50 =             | \$100          |
| Excess Ind. Claims  | 2 - 3 = 0   | 1614 (\$200) | 0 x 200 =            | \$0            |
| Multiple Dep. Claim   |   | 1616 (\$360) |                      | \$360          |
| Assignment  |   | 8021 (\$40)  | 1 x 40 =             | \$40           |
|   |   |              | <b>TOTAL FEE DUE</b> | <b>\$1,400</b> |
| <b>TOTAL FEE PAID (If Different Than Fee Due)</b>                 |   |              |                      | <b>N/A</b>     |

(X) A check in the amount of **\$1,400** to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
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